



Transportation
Security
Administration

APPLICATION FOR A
SECURITY THREAT ASSESSMENT

CURRENT EMPLOYER NAME			
CURRENT EMPLOYER CORPORATE ADDRESS			
CITY		STATE	ZIP
IAC PRINCIPAL (If employed by an IAC, refer to Section 2 for definition) <input type="checkbox"/> YES <input type="checkbox"/> NO		IAC PRINCIPAL TITLE (If IAC PRINCIPAL)	
NAME (First Middle Last Suffix)		SOCIAL SECURITY NUMBER (Optional)	
PREVIOUS NAME USED (First Middle Last Suffix) (If Applicable)		PREVIOUS NAME USED (First Middle Last Suffix) (If Applicable)	
EMAIL ADDRESS		PREVIOUS NAME USED (First Middle Last Suffix) (If Applicable)	
GENDER <input type="checkbox"/> Male <input type="checkbox"/> Female	DOB (mm/dd/yyyy)	PLACE OF BIRTH (City, State, Country)	
COUNTRY OF CITIZENSHIP	ALIEN REGISTRATION NUMBER (If Applicable)	NATURALIZATION DATE (If Applicable)	NATURALIZATION CERTIFICATE NUMBER (If Applicable)
CURRENT MAILING ADDRESS			
CITY		STATE	ZIP
CURRENT RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY CURRENT
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY
CITY		STATE	ZIP
PREVIOUS RESIDENTIAL ADDRESS		START DATE OF RESIDENCY	END DATE OF RESIDENCY

Please use the back of this form to enter additional residential addresses if necessary.

The information I have provided on this application is true, complete, and correct to the best of my knowledge and belief and is provided in good faith. I understand that a knowing and willful false statement, or an omission of a material fact, on this application can be punished by fine or imprisonment or both (see section 1001 of Title 18 United States Code), and may be grounds for denial of authorization or in the case of parties regulated under this section, removal of authorization to operate under this chapter, if applicable.

Applicant Signature: _____

Date: _____

Employer Use Below Line

I have authenticated the identity of the individual for whom this STA application is being submitted by reviewing two forms of identification, of which one is a government-issued picture identification.

I have verified that the individual's written application contains the Privacy Act Notice required under 49 C.F.R. § 1540.203(b)(2)(vii), and I acknowledge that I am required to retain the individual's signed STA application and any communications with TSA regarding the individual's application (either in electronic or hardcopy format) for 180 days following the end of the individual's service.

Employer Name & Signature: _____

Date: _____

Section 1. Unescorted Access to Cargo Definition

§ 1548.15 Access to Cargo: Security threat assessments for individuals having unescorted access to cargo.

This section applies to each indirect air carrier operating under this part.

- (a) This section applies to each employee or agent the indirect air carrier authorizes to have unescorted access to cargo from the time—
- (1) Cargo to be transported on an aircraft operated by an aircraft operator with a full all-cargo program under § 1544.101(h), or by a foreign air carrier under § 1546.101 (e), reaches an indirect air carrier facility where the indirect air carrier consolidates or holds the cargo until the indirect air carrier transfers the cargo to an aircraft operator or foreign air carrier, or
 - (2) Cargo to be transported on an aircraft operated by an aircraft operator with a full program or by a foreign air carrier under § 1546.101(a) or (b), is accepted by the indirect air carrier.
- (b) Before an indirect air carrier authorizes, and before an employee or agent gains, unescorted access to cargo as described in paragraph (a) of this section, each employee or agent must successfully complete one of the following:
- (1) A criminal history records check under §§ 1542.209, 1544.229, or 1544.230 of this chapter, if the individual is otherwise required to undergo that check.
 - (2) A Security Threat Assessment under part 1540 subpart C of this chapter. An employee or agent who has successfully completed this Security Threat Assessment for one employer need not complete it for another employer if the employee or agent has been continuously employed in a position that requires a Security Threat Assessment.
 - (3) Another Security Threat Assessment approved by TSA as comparable to paragraphs (1) or (2) of this section.
- (c) Each indirect air carrier must ensure that each individual who has access to its cargo—
- (1) Has successfully completed one of the checks in paragraph (b) of this section;
 - (2) Is escorted by a person who has successfully completed one of the checks in paragraph (b) of this section; or
 - (3) Is authorized to serve as law enforcement personnel by the airport operator associated with the location the person has unescorted access to by the Federal government.
- (d) Operators must comply with the requirements of this section not later than [Insert date 180 days from date of publication in the Federal Register].

Section 2. IAC Principal Definition

§ 1548.16 Security threat assessments for each sole proprietor, general partner, officer, director, and certain owners of the entity.

Each indirect air carrier, or applicant to be an indirect air carrier, must ensure that each sole proprietor, general partner, officer, director, and owner of the entity has successfully completed a Security Threat Assessment under part 1540 subpart C of this chapter. Each indirect air carrier must comply with the requirements of this section not later than [Insert date 180 days from date of publication in the Federal Register]. For purposes of this section, the following terms are defined:

Owner means any person who, directly or indirectly—

- (1) Owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of an IAC or applicant to be an IAC; or
 - (2) Controls in any manner the election of a majority of the directors (or individuals exercising similar functions), or an IAC, or applicant to be an IAC.
- (3) For purposes of this definition, members of the same family must be considered to be one person.

Same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, and parents-in-law, and spouses of any of the foregoing.

- (1) Each member of the same family, who has an ownership interest in an IAC, or applicant to be an IAC, must be identified if the family is an owner as a result of aggregating the ownership interests of the members of the family.
- (2) In determining the ownership interests of the same family, any voting interest of any family member must be taken into account.

Voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions).

Section 3: Privacy Act and Paperwork Reduction Act Notices

Authority: The authority for collecting this information is 49 U.S.C. 114, 40113, and 49 U.S.C. 5103a.

Purpose: This information is needed to verify your identity and to conduct a Security Threat Assessment to evaluate your suitability for completing the functions required by this position. Failure to furnish your SSN may result in delays in processing your application, but will not prevent completion of your Security Threat Assessment. Furnishing the other information is also voluntary; however, failure to provide it may delay or prevent the completion of your Security Threat Assessment, without which you may not be granted authorization to have unescorted access to air cargo subject to TSA security requirements.

Routine Uses: Routine uses of this information include disclosure to TSA contractors or other agents who are providing services relating to the Security Threat Assessments; to appropriate governmental agencies for law enforcement or security purposes, or in the interests of national security; and to foreign and international governmental authorities in accordance with law and international agreement. For further information, please consult DHS/TSA 002 Transportation Security Threat Assessment System.

Section 4: Instructions for Completing the Application

Country of Citizenship: If you have been naturalized as a US citizen, please provide your Date of Naturalization and Naturalization Certificate Number. Be sure to designate that you are a US citizen if you have been naturalized.

Alien Registration Number: Please provide your Alien Registration Number if you are not a US citizen. Please do not enter an "A" before the Alien Registration Number.

Residential / Physical Address Information: Please provide the last 5 years of residential address information (no PO Boxes). Use the back of the form or attach an additional sheet if you need to submit more than 5 residential addresses.